



Regents Policy Chapter 12, Research  
Regents Policy RP 12.201, Ethical Standards of Conduct  
Effective Date: June 2, 2016  
Prior Dates Amended: Oct. 31, 2014 (recodified), Mar. 17, 2011  
Review Date: August 2019

## **I. Purpose**

To set forth policy regarding ethical standards of conduct in research and scholarly activities.

## **II. Definitions**

No policy specific or unique definitions apply.

## **III. Policy**

- A. In the conduct of research and scholarly activities, administrators, faculty and staff shall adhere to strict ethical standards of conduct. Failure to adhere to ethical standards includes, but is not limited to falsification of data, plagiarism, breach of confidentiality, dishonesty in publications, deliberate violation of regulations, misappropriation of resources, utilizing one's official capacity to gain undue benefits for oneself or others, exploiting subordinates, including students and failing to disclose and properly manage potential conflicts of interest.

## **IV. Delegation of Authority**

There is no policy specific delegation of authority.

## **V. Contact Information**

Office of the Vice President for Research & Innovation, 956-5006, Syrmos@hawaii.edu

## **VI. References**

- A. <http://www.hawaii.edu/offices/bor/>
- B. EP 12.211, Policy for Responding to Allegations of Research and Scholarly Misconduct
- C. EP 12.214, Conflicts of Interest and Commitment
- D. EP 12.218, Compliance with United States Export Control Laws and Regulations
- E. AP 12.211, Administrative Procedure for Responding to Allegations of Research and Scholarly Misconduct
- F. AP 5.504, Procedures for Disclosing and Addressing Conflicts of Interest and Commitment
- G. AP 8.025, Fiscal Responsibilities Within the University
- H. AP 8.926, Administrative and Financial Requirements for Extramurally Financed Research and Training Programs/Activities of the University
- I. AP 8.956, Financial Conflicts of Interest (FCOI) for Public Health Services (PHS) Grants, Cooperative Agreements and Contracts
- J. Regulation and Compliance (NCURA) – A copy is on file at the Office of Research Services for examination for faculty and staff who wish to see a summary of Federal regulations that impact research and scholarly activities.

**Approved:**

Approved as to Form:

/S/  
Cynthia Quinn  
Executive Administrator and  
Secretary of the Board of Regents

06/02/2016  
Date